

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**COMPLETION OF FILING NATIONAL PHASE OF PCT APPLICATION  
UNDER RULE 35 USC 371 AND 37 CFR 1.494(C) OR 1.495(C)**

BOX PCT

**COMPLETION**  
For PCT Cases Only

In re PATENT APPLICATION of

**Attn: Application Division**

Inventor(s): BROWN

Appln. No.: 09 | 936,758  
Series Code ↑ Serial No. ↑

Atty. Dkt. P 0283685  
M#

Z70514

National Phase Field

Based on PCT | GB00 | 00912  
↑ Country Code & Year

## Title: Amide Derivatives

Date: November 15, 2001

Client Ref	
FEE VALUE ACCOUNTABILITY	
DEPOSIT ACCOUNT NO.	
03	3975
FEE CODE	VALUE PURCHASED
968	280
Please accept	

**FILING OF ITEM(S) LATE IN PCT/USA NATIONAL CASE**

Asst. Commissioner of Patents  
Washington, DC 20231

Sir:

The following completes the filing of the subject application under Rule 494(c)/495(c). Please accept  
the following attached items:

1. Missing Requirements Notice (PCT/DO/EO/905)  copy attached  not yet received

2.  **Signed Declaration**  Original  Facsimile/Copy  with spec/claims attached

3.  Translation of the International Application into English including:

a.  Request; b.  Abstract  
c. \_\_\_ pgs. Spec. and Claims; d.  Translation verification  
e. \_\_\_ sheets Drawing which are:  informal  formal of size  A4  11"

4.  Copy of **International Search Report** (ISR) attached (\_\_\_ page(s))  
a.  plus Annex of family members (\_\_\_ page(s))

5. **Information Disclosure Statement** including

a.  From PTO-1449 listing documents  
b.  Copies of document(s) listed on Form PTO-1449  
c.  A concise explanation of ISR references is given in the ISR

6.  **Assignment** and cover sheet. Please return the recorded assignment to the undersigned.

7.  Copy of Power to international application agent.

8. \_\_\_ (No.) Small Entity Statement(s) establishing "small entity" status under Rules 9 & 27.

9.  Formal Drawings: \_\_\_ sheet(s)  informal;  formal of size:  A4  11"

10.  Please immediately start national examination procedures (35 USC 371(f))

11.  Attached:
12.  Preliminary Amendment:
13.  Basic U.S. National fee per Rule 492(a)(1)-(4) was previously timely filed.:.
14. **Calculation of remaining fees due (if any):** based on amended claim(s) per above item  
 12 (above) or item(s) in PAT-112 (filed previously)       12       14       17       25

15. **CLAIMS FEES**     previously paid       paid herewith as follows:

15A. Small Entity Statement     Herewith     Previously Filed

			<b>Large/Small Entity</b>		<b>Fee Cod</b>
16. Total Effective Claims	16	minus 20 =	0	x \$18/\$9	+0
17. Independent Claims	1	minus 3 =	0	x \$84/\$42	+0
18. If any proper multiple dependent claim (ignore improper) is present,				\$280/\$140	+280
19. Filing Declaration late, fee paid <input type="checkbox"/> previously <input checked="" type="checkbox"/> now				\$130/\$65	+130
20.				<b>SUBTOTAL</b>	<b>\$410</b>
21. <u>Original due date:</u>	December 30, 2001				
22. Petition is hereby made to extend the <u>original</u> due date to cover the date this response is filed for which the requisite fee is attached	(1 mo)	\$110/\$55 =	+	115/215	
	(2mos)	\$400/\$200 =		116/216	
	(3mos)	\$920/\$460 =		117/217	
	(4mos)	\$1,440/\$720 =		118/218	
23. If "non-English" box 3 is X'd, add Rule 17(k) processing fee .....		\$130	+0	156	
24. If "assignment" box 6 is X'd, add recording fee .....		\$40	+40	581	
25.		<b>TOTAL FEE ENCLOSED =</b>	<b>\$450</b>		

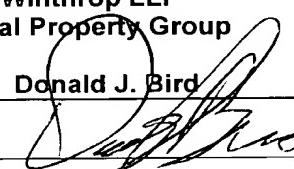
(Our Deposit Account No. 03-3975)  
 (Our Order No. 009901 | 0283685  
 C# M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Winthrop LLP  
 Intellectual Property Group

1600 Tysons Boulevard  
 McLean, VA 22102  
 Tel: (703) 905-2000

By Atty: Donald J. Bird      Reg. No. 25323  
 Sig:   
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DJB/AMX

NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments

Commissioner for Patents, U.S. Patent and Trademark Office, Washington, D.C. 20231, www.uspto.gov		
X		

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/936,758	Deag Sutherland Brown	P 0283685
INTERNATIONAL APPLICATION NO.		
PCT/GB00/00912		
00909 PILLSBURY WINTHROP LLP 1600 TYSONS BOULEVARD MCLEAN, VA 22102	I.A. FILING DATE	PRIORITY DATE
	03/13/2000	03/17/1999
CONFIRMATION NO. 8990		
371 FORMALITIES LETTER		
		
*OC00000006991096*		

Date Mailed: 10/30/2001

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- o U.S. Basic National Fees
- o Priority Document
- o Copy of IPE Report
- o Copy of references cited in ISR
- o Copy of the International Application
- o Copy of the International Search Report
- o Information Disclosure Statements
- o Preliminary Amendments
- o Request for Immediate Examination

**RECEIVED**  
PILLSBURY WINTHROP LLP/DC

NOV 01 2001

CL# 9901 MT# 283685  
ATTY(S) DYR  
DUE: 12-30-01  
DKT BY (1) DSW (2) JCH

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- o Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- o \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

JOHN L ANDERSON

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Telephone: (703) 308-9116

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/936,758	PCT/GB00/00912	P 0283685